Involving Stakeholders in MSP: Purposes, Process, Methods, Tools

Based on
Handbook: Process, Methods abd Tools for Stakeholder Involvement in Maritime Spatial Planning

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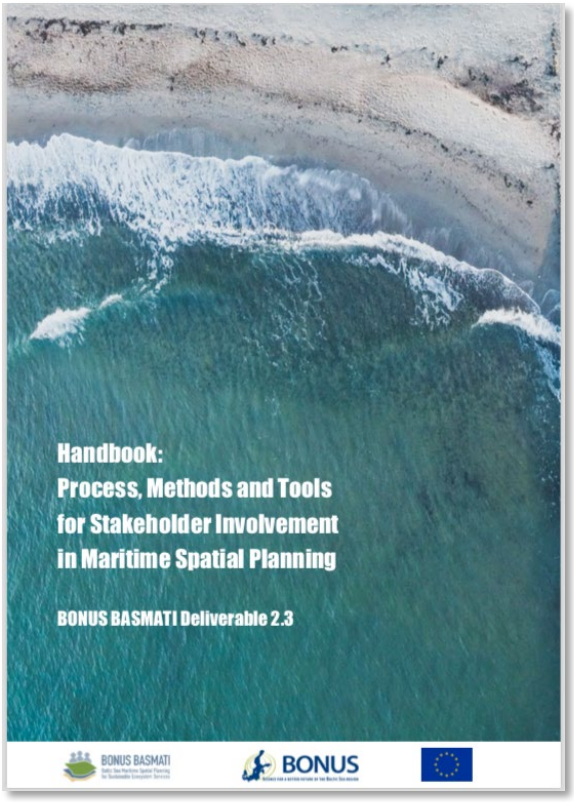
BONUS BASMATI project, with input from BONUS BaltSpace, Baltic SCOPE and Pan Baltic Scope



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BONUS
SCIENCE FOR A BETTER FUTURE OF THE BALTIC SEA REGION

BONUS BASMATI
Baltic Sea Maritime Spatial Planning for Sustainable Ecosystem Services

Overview

1. Background: MSP and SI
2. Four key questions
    1. Why: introducing instrumental-normative…
    2. Who: types of stakeholders, needs, understanding
    3. When: phases of process (loop)
    4. How (much): interaction, methods, tools (stairway)
3. Combining it all: the process-scope arrow & examples
4. Conclusion

1. Background

MSP stakeholder involvement

- **How organise?**
- **Who has a mandate?**
- **Who has a stake?**

Figure © WWF Germany @ www.baltseaplan.eu

Challenge: Sharing

Figure © WWF Germany @ www.baltseaplan.eu

Alternative…

Spatial planning: plan + process

Blue Growth ⇔ ecological sustainability?

Public process ⇔ Political ⇔ Expert driven?

Reflection 1
Stakeholder Involvement

Figure © WWF Germany @ www.baltseaplan.eu

For your context/planning process:

1) What is a ***stakeholder***?
2) What is ***participation/involvement***?

=> Implications for your context/process?

2. Four key questions

- **WHY?**  
- **WHO?** 
- **WHEN?** 
- **HOW**   

2.1 Why: Shift in purposes

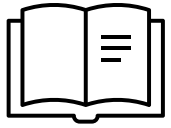
- **WHY?**
- WHO?
- WHEN?
- HOW

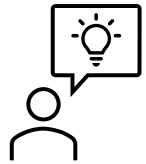
Year	1960-	1970-	1980-	1990-2000-
				Cooperation, working on problems together. Taking advantage of self-sustained activities, shared responsibility, synergies Collaboration, mutual learning
			Activating participation Mobilise endogenous potential, motivate, empowerment	
		Inform & consult public, present plans Improve information and efficiency Legitimity & democratisation		
	Inform & consult affected parties Juridical protection in legally defined processes			

K. Selle 1996 development of purposes for participation in spatial planning in Germany

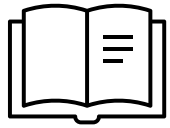
Purposes of participation: 3 types

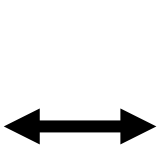
- **WHY?**
- **WHO?**
- **WHEN?**
- **HOW**





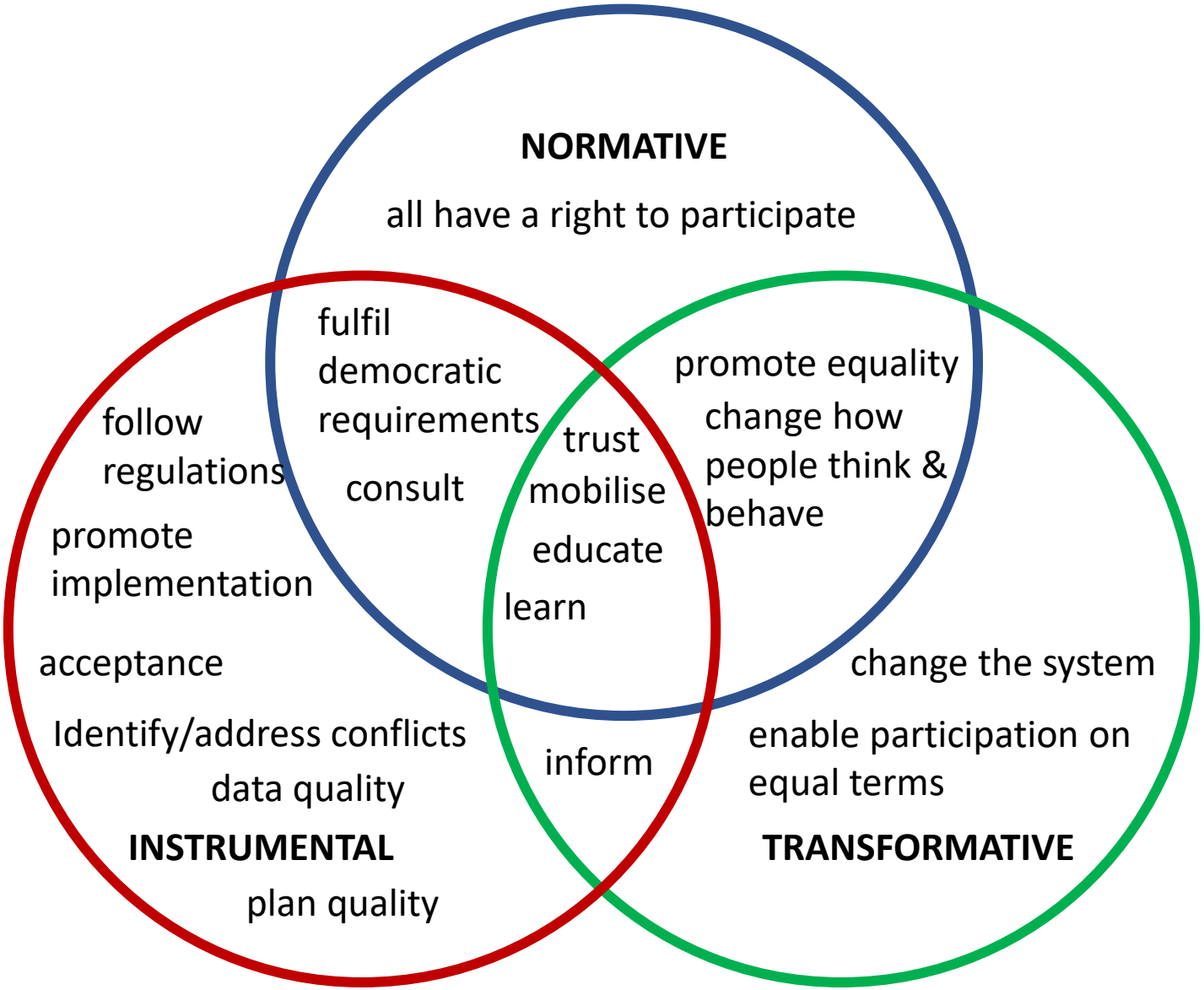
1. Instrumental: useful
   - We need: process input and information
   - We want: promote implementation acceptance
2. Normative: follow norms
   - We have to: legal requirements
   - Democratic involvement
3. Transformative: change society
   - Mutual learning
   - Empower disempowered groups





Purposes of participation may overlap

- **WHY?**
- WHO?
- WHEN?
- HOW



Reflection 2: WHY?
Stakeholder Involvement

- **WHY?**
- WHO?
- WHEN?
- HOW

1) Why do you want/need to involve stakeholders in a planning process? Why not?
   - What purposes can you find in legislation and documents?
   - What kinds of input do you expect from participants for your plans/process?
   - What other purposes of stakeholder involvement do you see?
2) What might participants want from MSP?
   - Why do they want to participate?
   - What might they expect? Do they know what to expect?

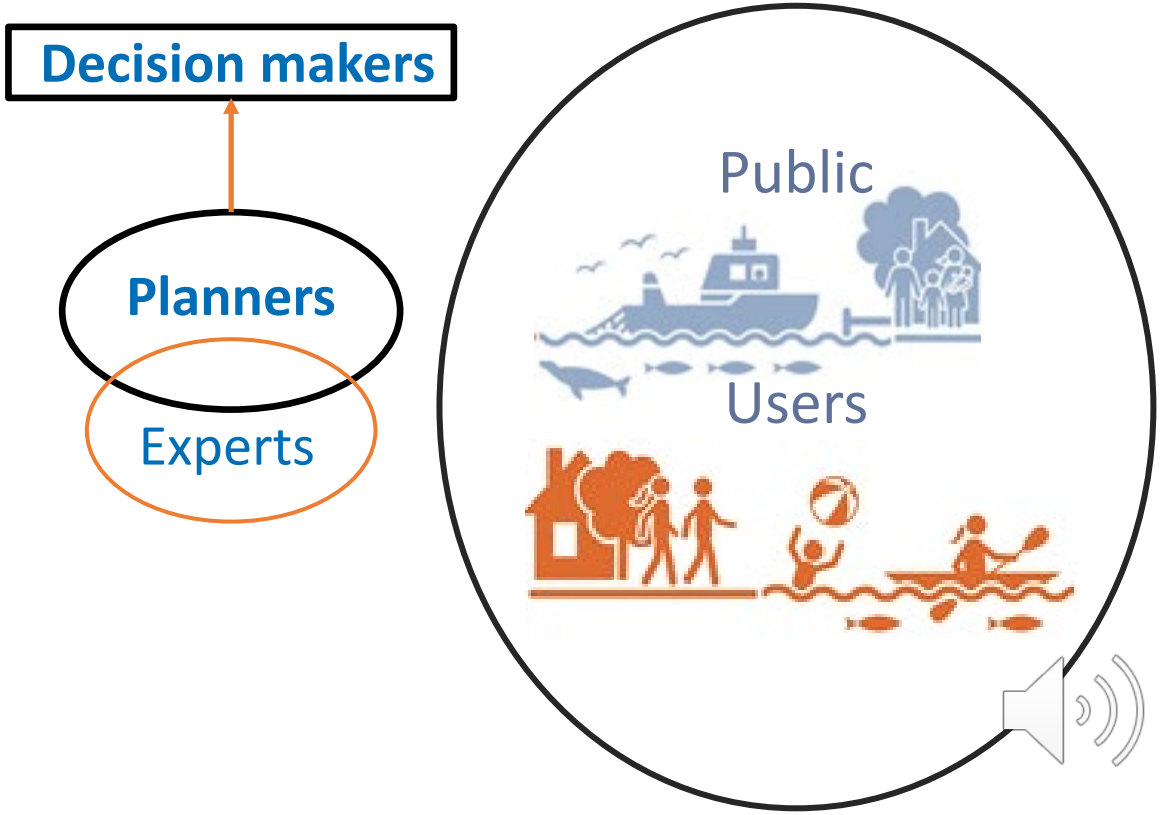
Try to sort and compare purposes:

⇒ How far do they match/differ?

⇒ Anything that may need to be clarified?

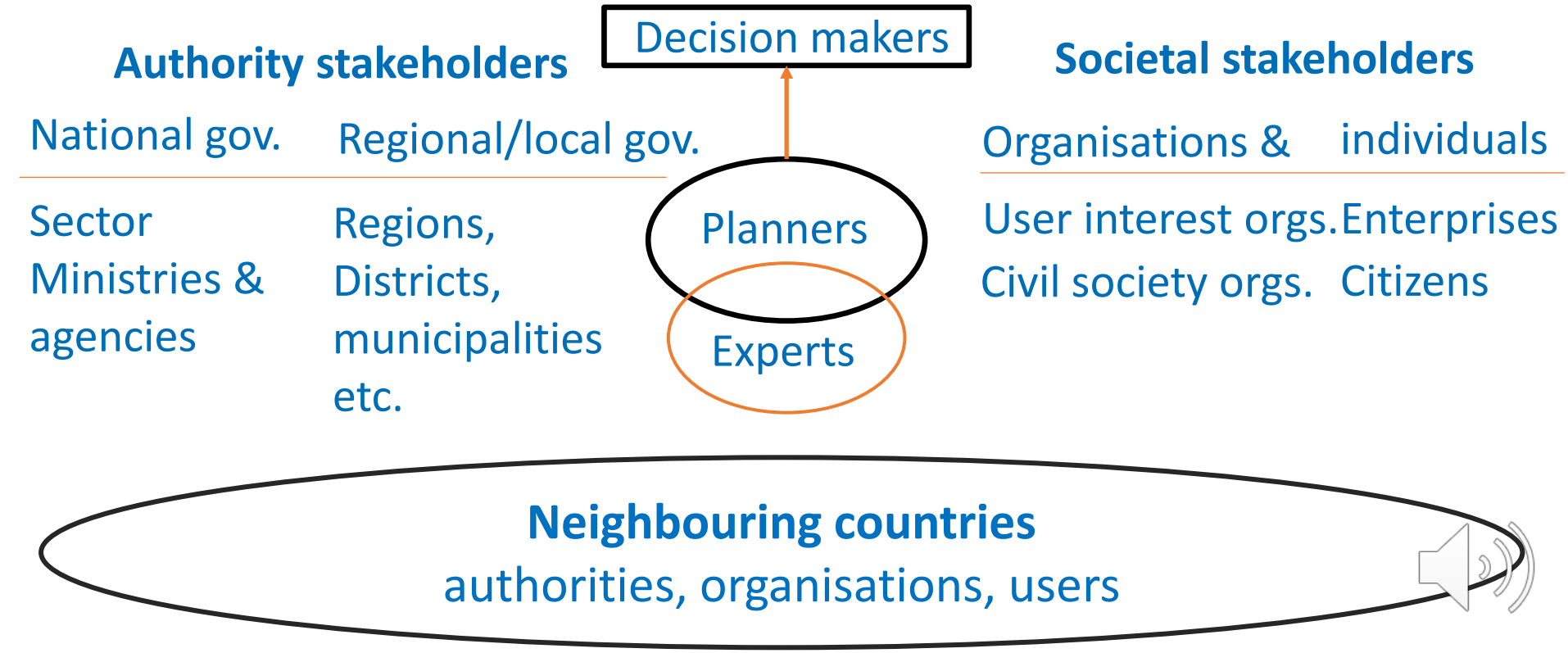
2.2 Who are the stakeholders?

- WHY?
- **WHO?**
- WHEN?
- HOW



2.2 Who are the stakeholders?

- WHY?
- **WHO?**
- WHEN?
- HOW



Stakeholders are diverse

- WHY?
- **WHO?**
- WHEN?
- HOW

* **Users of the coast/sea => multiple interests & hats?**
  * **Residents**: Year round- & part-time
  * **Fisheries**: professional, households, leisure, gear
  * **Transport**: goods, persons, public, private
  * **Conservation**: cultural, nature, landscape, …
  * **Extraction/dredging:** oil, gas, gravel… and dumping!
  * **Tourism/recreation**: moorings, boats, bathing, diving, infrastructure…
  * **National defence**: water & land
  * **Industries: energy production, aquaculture** - water & land
  * Uses with **indirect effects**: agriculture, forestry, industry, transport etc.
* **Representation** through:
  * **Not organised: individuals (driven key individuals), groups (very active informal groups), enterprises**
  * **Formal organisations**: local resident-, enterprise-, conservation-, tourism-, fisheries-, boating-, mixed (NGO-GO),…
  * **Authorities (including governmental organisations)**: municipal, regional, national, EU, conventions (often sectoral) including politicians

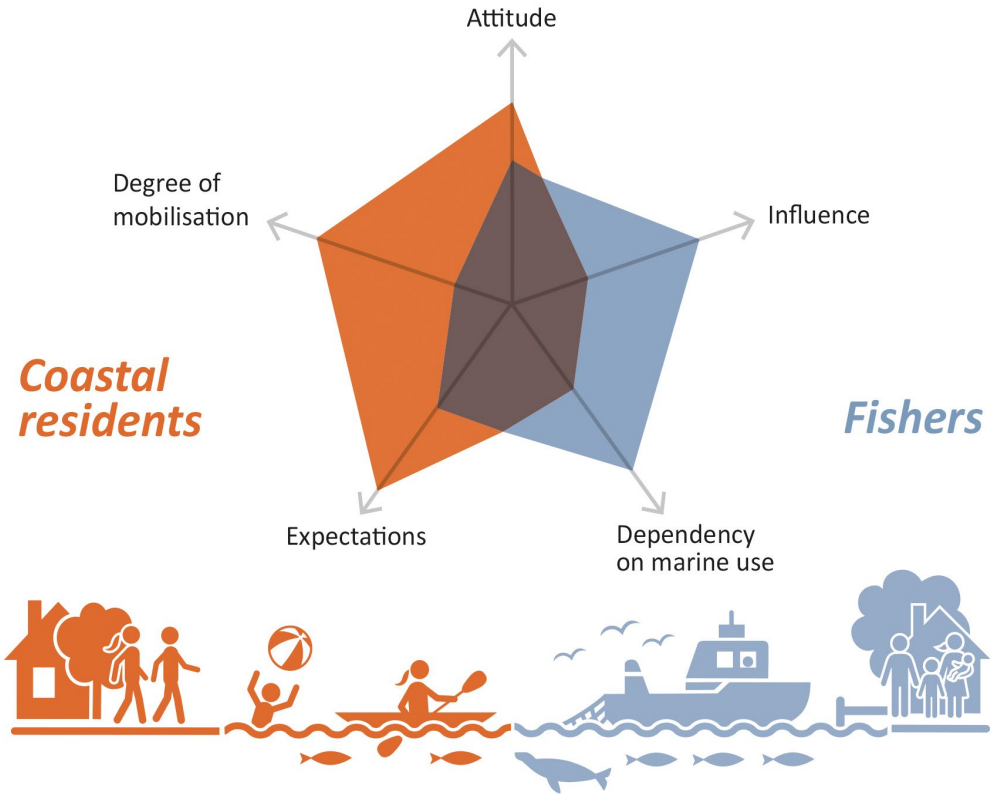
Stakeholders are multidimensional

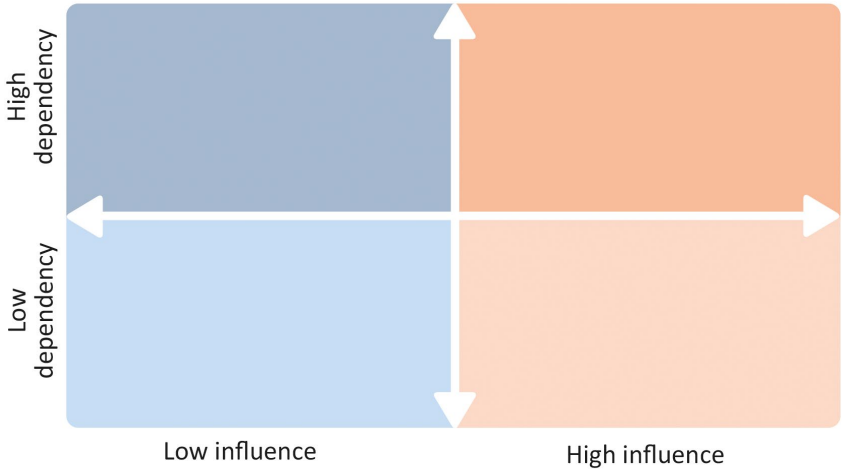
Map stakeholders

=> Understand aims & needs

=> When/how best include them

- WHY?
- **WHO?**
- WHEN?
- HOW





Reflection 3: WHO?
Stakeholder Involvement

- WHY?
- **WHO?**
- WHEN?
- HOW

1) Who is a stakeholder (may have a stake) in you planning context?
2) Why do people participate in MSP?
3) What are their roles? Mandate? Influence?
4) Who should meet whom?

2.3 When to involve stakeholders
The Planning Process Loop

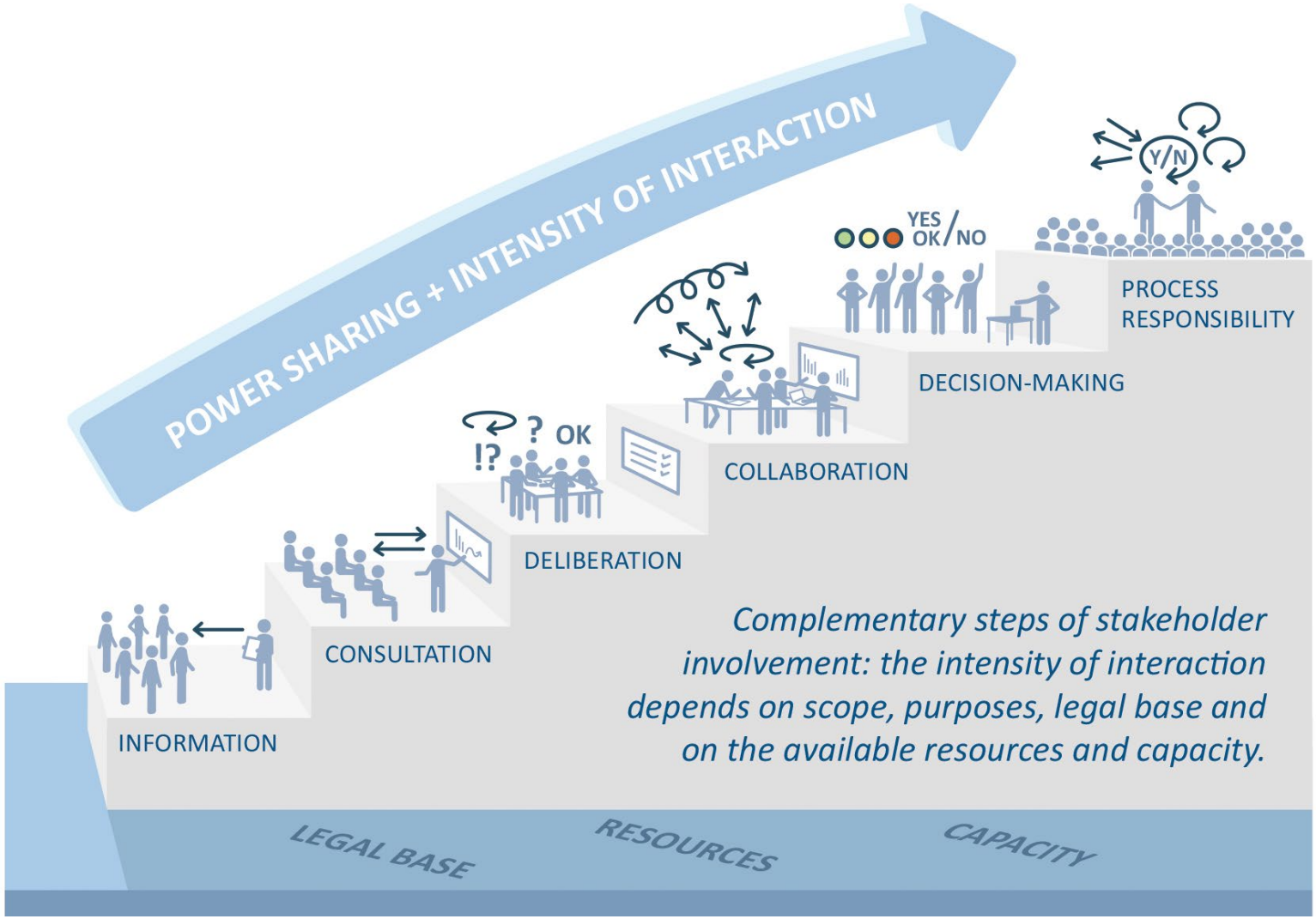
- WHY?
- WHO?
- **WHEN?**
- HOW

Reflection 4: WHEN?
Stakeholder Involvement

1) Which phase are your planning process in – or about to enter?
2) Based on your "Why": Which stakeholders are relevant to involve in this phase? Are they all "the usual suspects"?
3) How well do you know them? Would a stakeholder analysis be relevant for some?

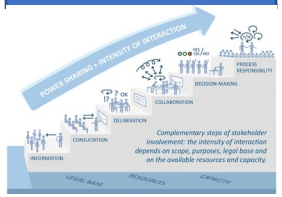
- WHY?
- WHO?
- WHEN?
- **HOW**

2.4 HOW involve stakeholders



- WHY?
- WHO?
- WHEN?
- **HOW**

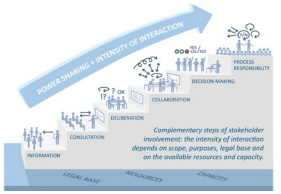
HOW:
Main forms of participation



DIRECT	REPRESENTATIVE
• Citizens, users, enterprise • Authority stakeholders	• Representatives for user/ interest groups & organisations
+ ”Equity”, all can participate + Local knowledge, local definition of problems - Complicated for large crowds - Egotism/narrow views	+ Time efficient + Resource efficient - Distance from problems - Representative?

- WHY?
- WHO?
- WHEN?
- **HOW**

HOW:
Approaches => tools & methods



- **Individuals/groups**:
  Multilateral ⇔ bilateral ⇔ single
- **Frequency**:
  Often – repeatedly – one occasion
- **Forms**:
  Written – oral – visual – direct / digital – etc.
- **Content**:
  Knowledge – values – places – practices etc.

⇒ Toolbox!

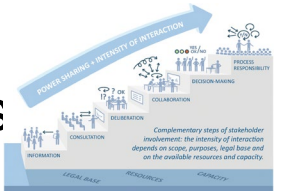


⇒ Leadership, skills & capacity to facilitate and use

- WHY?
- WHO?
- WHEN?
- **HOW**

Approaches & tools: many!

- Many overall approaches & specific tools for collaboration, knowledge sharing, negotiating:
  e.g. workshops, conflict matrices, time-lines, scenarios multi criteria analysis, collaborative mapping…



⇒ Inspiration: land based planning, participatory rural appraisal, conservation management and more

Also BONUS BASMATI developed tools:

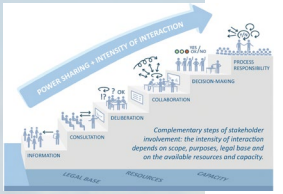
- Sharing knowledge on maps

  Baltic Explorer: Interactive map platform for co-creation for individuals, enterprises, organisations etc.

- Map-based visualisation of consequences of alternative scenarios

  SEANERGY, SPACEA, MYTILUS, ESA

- WHY?
- WHO?
- WHEN?
- **HOW**

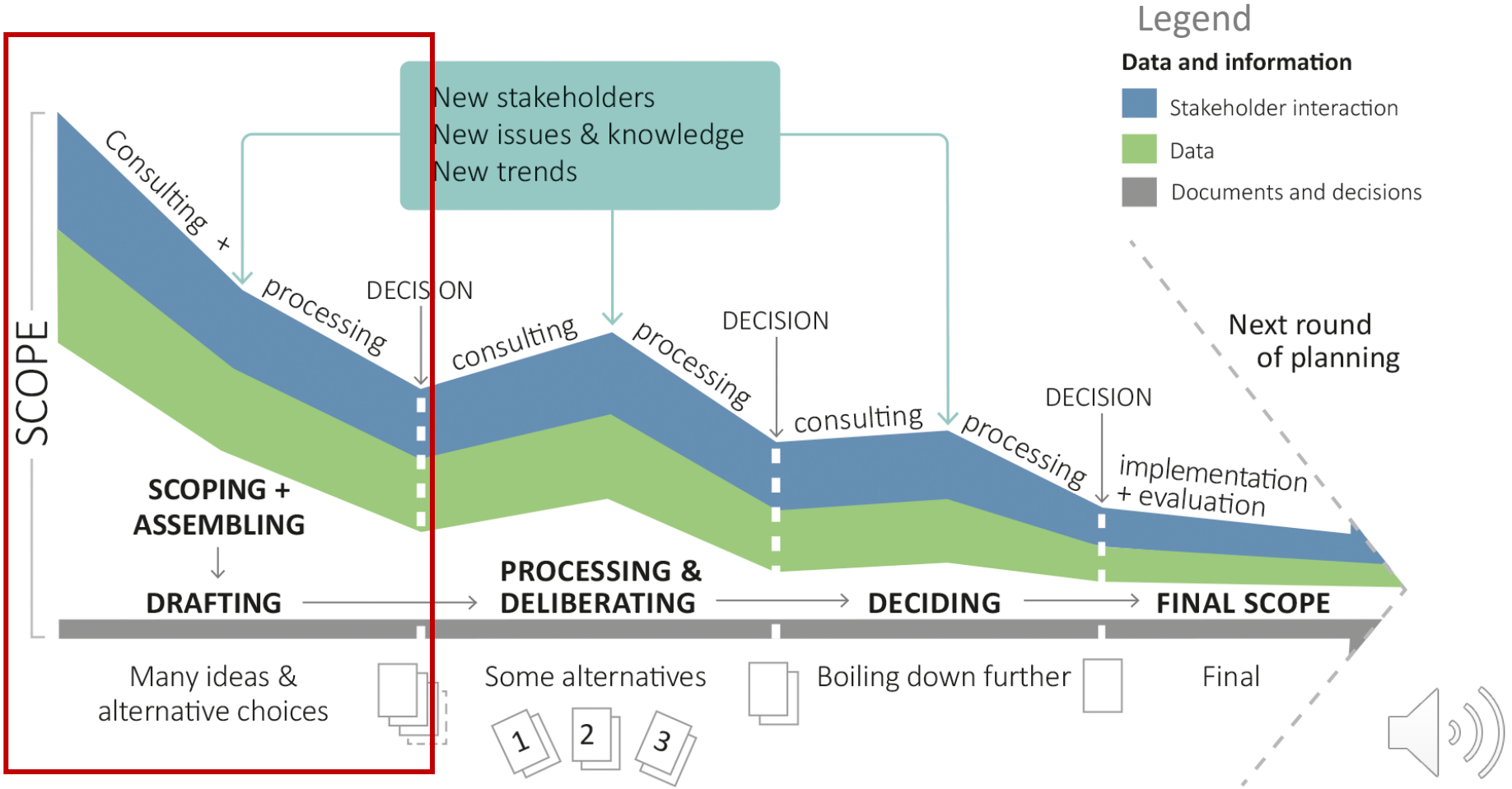


Reflection 5: HOW
to involve stakeholders?

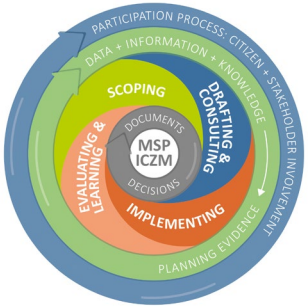
1) Which level(s) of power sharing is appropriate in your process?
   and in which phases?
2) Which types of representation?
   Direct or representative?
3) What setting: meetings, hearings etc?
4) Which methods & tools to use?
5) Vary regarding 1-4?

3. Varying scope & Involvement throughout the whole process Examples: Baltic Sea Practice

- WHY?
- WHO?
- WHEN?
- HOW



3.1 Scoping



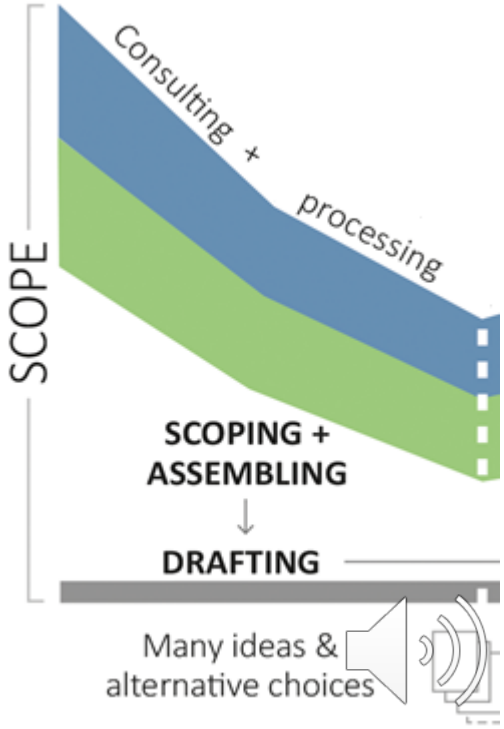
- **WHY?**
- **WHO?**
- **WHEN?**
- **HOW**

Denmark:

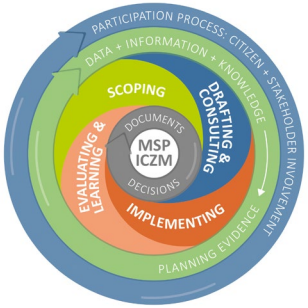
- Extract knowledge and data from municipalities, stakeholders, research in semi closed workshops

Germany (MV):

- Pre-planning phase: International projects as main setting
- Cross-sector transnational meetings
- Various methods to identify conflicts



3.2 Drafting & consulting



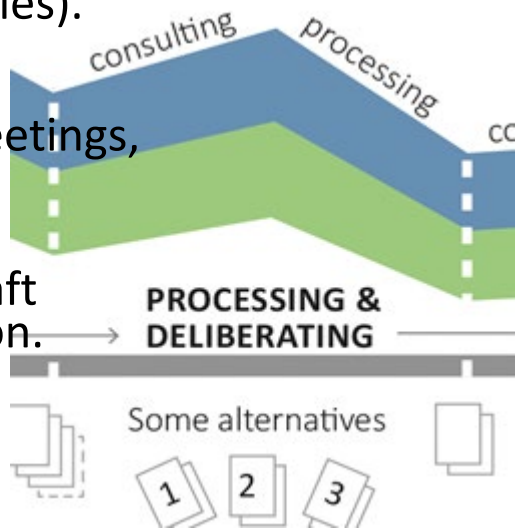
- **WHY?**
- **WHO?**
- **WHEN?**
- **HOW**

Denmark:

- Sector ministries involved in collaboration and decision-making in parts.
- Public and direct sector rep. excluded in this phase
- When plan draft is published: a public hearing phase and consultation with selected stakeholders (municipalities).

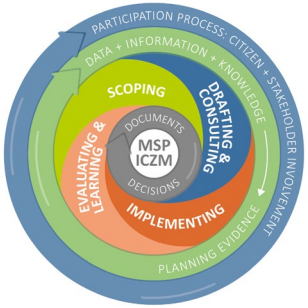
Germany (MV):

- Conferences, formal and informal working group meetings, written comments
- Many bilateral conversations.
- Comments from formal public consultation on 1st draft used to produce 2nd draft and from this a final version.



Sweden (national):

- Thematic working groups w. sector authorities.
- Funding of (cross-) municipal MSP projects (enable).
- Extra consultation on status analysis, road map & early plan draft
- Written comments, sector & broad meetings, dialogue meetings with specific groups on request
- 2 formal stages of consultation before final version submitted to Government for adoption.

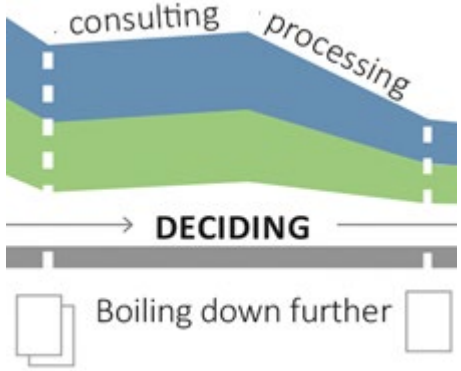


- **WHY?**
- **WHO?**
- **WHEN?**
- **HOW**

3.3 Implementing

Sweden:

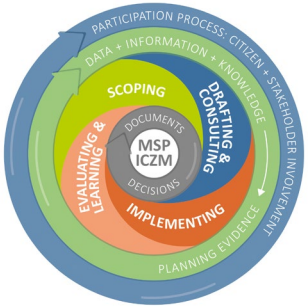
- Adoption under way. Implementation to occur at all levels – local/regional spatial planning & sector authorities.
- Participation process with authorities also x-border (coordination, cooperation, collaboration, involvement) seen as important for anchoring plan with implementing authorities at all levels.



Germany (EEZ):

- Final version becomes a legal ordinance and is implemented. A new planning loop begins.

3.4 Evaluation & learning

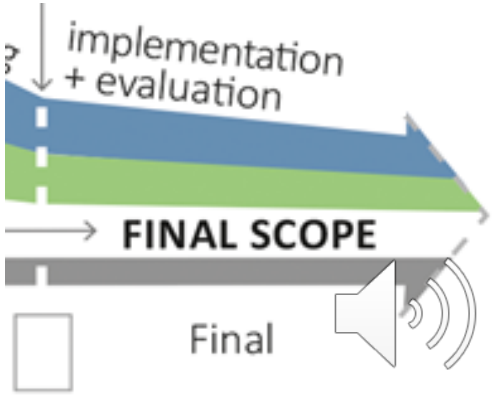


- **WHY?**
- **WHO?**
- **WHEN?**
- **HOW**

Sweden (national): evaluating planning process and developing a framework for follow up both plan, SEA and participation process.

Germany (EEZ): informal evaluation of its EEZ plans, enhanced its participation process for the 2nd round of MSP (e.g. greater focus on informal consultation before formal process).

- Mecklenburg Vorpommern (terr. waters):
  Working group meetings with public authorities, private actors, research both nationally and internationally, cross-sectoral focus, to exchange information – purpose was to implement and advance the plan.



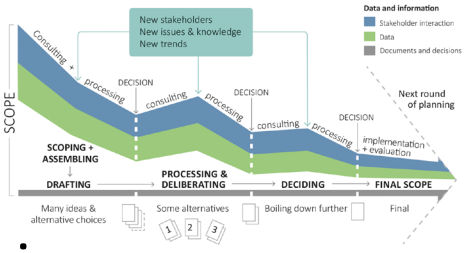
- **WHY?**
- **WHO?**
- **WHEN?**
- **HOW**

Reflection 6:
Stakeholder Involvement
WHY => WHO, HOW & WHEN?

1) Based on the **purposes**
2) **Who** should be involved and **when**?
3) What kinds of **interaction** is needed?
4) What **methods/forms** are suitable?
5) What **places** and **settings** are most convenient?
6) What kind of **capacity** is needed for **facilitation** and for **participation**? Capacity building necessary?

=> SI/communication strategy & resource plan

4. Conclusion & Thanks!



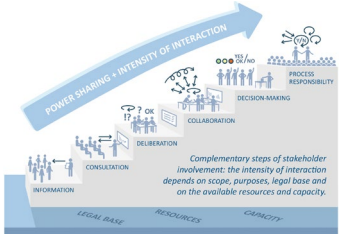
We hope this helps you to develop your own thinking

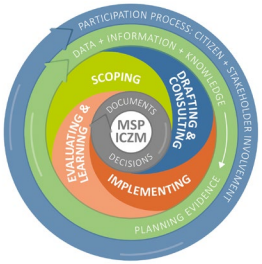
- About stakeholder involvement in general
- To develop your own communication strategy

⇒Think about the 4 Qs early on - and on and on…!

⇒ Communicate your intentions and empower if needed!

⇒ Listen & document the input and how you use it!





Looking forward to receiving your feedback

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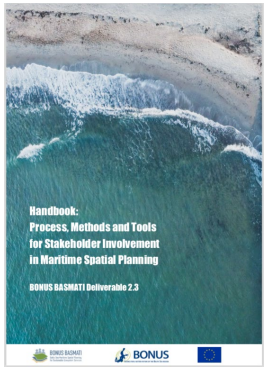
BALTSPACE

Baltic SCOPE

Towards coherence and cross-border solutions in Baltic Maritime Spatial Plans



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Baltic Sea Maritime Spatial Planning for Sustainable Ecosystem Services

Further material & references

- www.bonusbasmati.eu : Handbook
- This presentation: link…
- www.baltspace.eu: movie, stakeholder integration, cases
- www.balticscope.eu: stakeholder integration, lessons learned
- Morf et al 2019. Towards a ladder of MSP participation. Chapter 10 In: Zaucha, J., & Gee, K. (eds). *Marine spatial planning – past, present, future.* (eBook); https://doi.org/10.1007/978-3-319-98696-8
- Morf et al. 2019. Towards sustainability of marine governance from a stakeholder integration perspective: challenges and enablers for stakeholder involvement in transboundary Marine Spatial Planning (MSP) in the Baltic Sea Area. *Ocean and Coastal Management*. Vol. 177, 1 July 2019, Pages 200-212, https://doi.org/10.1016/j.ocecoaman.2019.04.009